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File No. 56269.US   Client No. 0910.2   **Date: October 7, 2005**
Application No. 09/777,987
Filing Date: February 6, 2001
Applicant(s): Jason M. Borlinghaus
Title: Method of Using a Computerised Trading System to Process Trades in Financial Instruments
Enclosures:
1. Amendment Transmittal Letter (1 p.)
2. Amendment A (22 pgs.)
   (Includes Certificate of Facsimile Transmission)

COMMISSIONER FOR PATENTS

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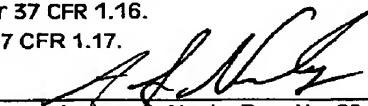LUEDEKA, NEELY & GRAHAM, PC   ASN:cmw

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AMENDMENT TRANSMITTAL LETTER	Docket No. 56269.US

Application No. 09/777,987	Filing Date 02/06/2001	Examiner Jason M Borlinghaus	Group Art Unit 3628

Invention Title
METHOD OF USING A COMPUTERISED TRADING SYSTEM TO PROCESS TRADES IN FINANCIAL INSTRUMENTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

[ ]   Small Entity status of this application has been established under 37 CFR 1.27

[x]   No additional fee is required.

[ ]   The fee has been calculated as shown below:

CLAIMS AS AMENDED

	(1) CLAIMS REMAINING AFTER AMENDMENT		(2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(3) PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	* 100	minus	** 100	0	X $50	$ 0
INDEPENDENT CLAIMS	* 1	minus	*** 3	0	X $200	0
MULT. DEPENDENT CLAIM ADDED					$360	0
					TOTAL	$ 0

If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.	SMALL ENTITY TOTAL	$ 0

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
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    [X] Any additional filing fees required under 37 CFR 1.16.
    [X] Any patent application processing fees under 37 CFR 1.17.

Andrew S. Neely, Reg. No. 28,979

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PATENT
Docket No. 56269.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael Spencer et al.
Application No.:	09/777,987
Filed:	6 February 2001
For:	METHOD OF USING A COMPUTERISED TRADING SYSTEM TO PROCESS TRADES IN FINANCIAL INSTRUMENTS
Examiner:	Jason M. Borlinghaus
Group Art Unit:	3628

AMENDMENT A

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed July 8, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.